

FORM PTO 1390 (REV 5-93) U.S. DEPARTMENT OF COMMERCE - PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER 2000_0926A
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371		U.S. APPLICATION NO. (37 CFR 1.4) 09/582727
International Application No. PCT/JP99/06102	International Filing Date November 2, 1999	Priority Date Claimed November 2, 1998
Title of Invention OPTICAL DISK, APPARATUS FOR PLAYING BACK AND APPARATUS FOR COPYING OPTICAL DISK AND METHOD OF PREVENTING ILLEGAL USE OF OPTICAL DISK		
Applicant(s) For DO/EO/US Yoshihiro TOSAKI et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. §371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. ATTACHMENT A c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. §371(c)(2)). ATTACHMENT B 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). 9. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)). 		
Items 10. to 13. below concern other document(s) or information included:		
<ol style="list-style-type: none"> 10. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. <input checked="" type="checkbox"/> A FIRST preliminary amendment. ATTACHMENT C <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 13. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> - Notification Concerning Submission or Transmittal of Priority Document - ATTACHMENT D - International Search Report - ATTACHMENT E - <u>unexecuted</u> Declaration and Power of Attorney with Cover Letter - ATTACHMENT F 		
<small>THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975</small>		

U.S. APPLICATION NO. 09/582727	INTERNATIONAL APPLICATION NO. PCT/JP99/06102	ATTORNEY'S DOCKET NO. 2000 0926A		
17. [X] The following fees are submitted		CALCULATIONS PTO USE ONLY		
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):				
[X] Search Report has been prepared by the EPO or JPO..... \$840.00				
[] Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$970.00				
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 840.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$		
Claims	Number Filed	Number Extra	Rate	
Total Claims	55 - 20 =	35	X \$18.00	\$ 630.00
Independent Claims	10 - 3 =	7	X \$78.00	\$ 546.00
Multiple dependent claim(s) (if applicable)		+ \$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =		\$2,016.00		
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)		\$		
SUBTOTAL =		\$2,016.00		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+ \$		
TOTAL NATIONAL FEE =		\$2,016.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$		
TOTAL FEES ENCLOSED =		\$2,016.00		
		Amount to be refunded \$		
		Amount to be charged \$		
<p>a. [X] A check in the amount of <u>\$2,016.00</u> to cover the above fees is enclosed. A duplicate copy of this form is enclosed.</p> <p>b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u>.</p>				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO:		<u>Charles R. Watts</u> SIGNATURE		
WENDEROTH, LIND & PONACK, L.L.P. 2033 K Street, N.W., Ste. 800 Washington, D.C. 20006		<u>Charles R. Watts</u> NAME		
		<u>33,142</u> REGISTRATION NUMBER		
June 30, 2000				

[CHECK NO. 38759]
 [2000_0926A]

09/582727

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

532 Rec'd PCT/FTC 30 JUN 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoshihiro TOSAKI et al. : Attn: BOX PCT

Serial No. NEW : Docket No. 2000_0926A

Filed June 30, 2000 :

OPTICAL DISK, APPARATUS FOR
PLAYING BACK AND APPARATUS FOR
COPYING OPTICAL DISK AND METHOD
OF PREVENTING ILLEGAL USE OF
OPTICAL DISK

[Corresponding to PCT/JP99/06102
Filed November 2, 1999]

COVER LETTER FOR APPLICATION FILED
WITHOUT EXECUTED DECLARATION

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

The above-identified application has been submitted without an executed oath or declaration.

It is respectfully requested that this application be assigned a serial number and awarded a filing date.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office.

A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.
2033 K Street, N.W., Suite 800
Washington, D.C. 20006

The required U.S. Patent and Trademark Office Filing Fee is submitted herewith.

Respectfully submitted,

Yoshihiro TOSAKI et al.

By Charles R. Watts
Charles R. Watts
Registration No. 33,142
Attorney for Applicants

CRW/asd
Washington, D.C.
Telephone (202) 721-8200
Facsimile (202) 721-8250
June 30, 2000